

	Application Number	10/814,679	
	Filing Date	March 31, 2004	
	First Named Inventor	Hood, Roy Lee	
	Group Art Unit	1771	
	Examiner Name	Not yet known	
Total Number of Pages in This Submission	26	Attorney Docket Number	713629.417

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment (Preliminary)	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below); return postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks:	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name	Kevin M. Kercher, Reg. No. 33,408 Blackwell Sanders Peper Martin LLP
Signature	
Date	August 27, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Express Mail Label No.: EV390579819US			
Typed or printed name	Beth Hookway		
Signature		Date	8-27-04

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<div style="border: 2px solid black; border-radius: 50%; width: 100px; height: 100px; margin: 0 auto; display: flex; align-items: center; justify-content: center;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold; font-size: 1.2em;">OFFICE USE ONLY</div> </div> <h1 style="margin: 0;">FREE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2004</h2> <p style="margin: 0; font-size: 0.8em;">Effective 10/01/2003. Patent fees are subject to annual revision.</p>	Complete if Known	
	Application Number	10/814,679
	Filing Date	March 31, 2004
	First Named Inventor	Roy L. Hood
	Examiner Name	Jeremy R. Pierce
Art Unit	1771	
Attorney Docket No.	713629.417	

<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	<b>TOTAL AMOUNT OF PAYMENT</b> (\$ ) 302.00
---	---

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																					
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Order Deposit Account Number: 11-0160 Deposit Account Name: BLACKWELL SANDERS PEPER MARTIN LLP  <b>The Commissioner is authorized to: (check all that apply)</b> <input checked="" type="checkbox"/> Charge fees indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, <b>except for the filing fee</b> to the above-identified deposit account.	<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>420</td><td>2252</td><td>210</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>950</td><td>2253</td><td>475</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1,480</td><td>2254</td><td>740</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>2,010</td><td>1155</td><td>1,005</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>330</td><td>2401</td><td>165</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>330</td><td>2402</td><td>165</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>290</td><td>2403</td><td>145</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,330</td><td>2453</td><td>665</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,330</td><td>2501</td><td>665</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>480</td><td>2502</td><td>240</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>640</td><td>2503</td><td>320</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>770</td><td>2809</td><td>385</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>770</td><td>2810</td><td>385</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>770</td><td>2801</td><td>385</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>				Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	420	2252	210	Extension for reply within second month		1253	950	2253	475	Extension for reply within third month		1254	1,480	2254	740	Extension for reply within fourth month		1255	2,010	1155	1,005	Extension for reply within fifth month		1401	330	2401	165	Notice of Appeal		1402	330	2402	165	Filing a brief in support of an appeal		1403	290	2403	145	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,330	2453	665	Petition to revive - unintentional		1501	1,330	2501	665	Utility issue fee (or reissue)		1502	480	2502	240	Design issue fee		1503	640	2503	320	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		1806	180	1806	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))		1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))		1801	770	2801	385	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application	
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																	
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																			
1051	130	2051	65	Surcharge - late filing fee or oath																																																																																																																																																																																		
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																		
1053	130	1053	130	Non-English specification																																																																																																																																																																																		
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																		
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																		
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																		
1251	110	2251	55	Extension for reply within first month																																																																																																																																																																																		
1252	420	2252	210	Extension for reply within second month																																																																																																																																																																																		
1253	950	2253	475	Extension for reply within third month																																																																																																																																																																																		
1254	1,480	2254	740	Extension for reply within fourth month																																																																																																																																																																																		
1255	2,010	1155	1,005	Extension for reply within fifth month																																																																																																																																																																																		
1401	330	2401	165	Notice of Appeal																																																																																																																																																																																		
1402	330	2402	165	Filing a brief in support of an appeal																																																																																																																																																																																		
1403	290	2403	145	Request for oral hearing																																																																																																																																																																																		
1451	1,510	1451	1,510	Petition to institute a public use proceeding																																																																																																																																																																																		
1452	110	2452	55	Petition to revive - unavoidable																																																																																																																																																																																		
1453	1,330	2453	665	Petition to revive - unintentional																																																																																																																																																																																		
1501	1,330	2501	665	Utility issue fee (or reissue)																																																																																																																																																																																		
1502	480	2502	240	Design issue fee																																																																																																																																																																																		
1503	640	2503	320	Plant issue fee																																																																																																																																																																																		
1460	130	1460	130	Petitions to the Commissioner																																																																																																																																																																																		
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)																																																																																																																																																																																		
1806	180	1806	180	Submission of Information Disclosure Stmt																																																																																																																																																																																		
8021	40	8021	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																		
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																		
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																		
1801	770	2801	385	Request for Continued Examination (RCE)																																																																																																																																																																																		
1802	900	1802	900	Request for expedited examination of a design application																																																																																																																																																																																		
<b>1. BASIC FILING FEE</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>1001</td><td>770</td><td>2001</td><td>385</td><td>Utility filing fee</td><td></td></tr> <tr><td>1002</td><td>340</td><td>2002</td><td>170</td><td>Design filing fee</td><td></td></tr> <tr><td>1003</td><td>530</td><td>2003</td><td>265</td><td>Plant filing fee</td><td></td></tr> <tr><td>1004</td><td>770</td><td>2004</td><td>385</td><td>Reissue filing fee</td><td></td></tr> <tr><td>1005</td><td>160</td><td>2005</td><td>80</td><td>Provisional filing fee</td><td></td></tr> <tr><td colspan="5" style="text-align: right;">SUBTOTAL (1) (\$ )</td><td></td></tr> </tbody> </table> <b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>1202</td><td>18</td><td>2202</td><td>9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>1201</td><td>86</td><td>2201</td><td>43</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>1203</td><td>290</td><td>2203</td><td>145</td><td>Multiple dependent claim, if not paid</td><td></td></tr> <tr><td>1204</td><td>86</td><td>2204</td><td>43</td><td>** Reissue independent claims over original patent</td><td></td></tr> <tr><td>1205</td><td>18</td><td>2205</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr> <tr><td colspan="5" style="text-align: right;">SUBTOTAL (2) (\$ ) 302.00</td><td></td></tr> </tbody> </table> <b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b> (Continued) <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Total Claims</th> <th colspan="2">Extra Claims</th> <th rowspan="2">Fee from below</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>45</th> <th>-33** = 12</th> <th>12</th> <th>X 18 = 216.00</th> </tr> </thead> <tbody> <tr> <td>Independent Claims</td> <td>4</td> <td>-3** = 1</td> <td>X 86 = 86.00</td> <td></td> <td></td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1001	770	2001	385	Utility filing fee		1002	340	2002	170	Design filing fee		1003	530	2003	265	Plant filing fee		1004	770	2004	385	Reissue filing fee		1005	160	2005	80	Provisional filing fee		SUBTOTAL (1) (\$ )						Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1202	18	2202	9	Claims in excess of 20		1201	86	2201	43	Independent claims in excess of 3		1203	290	2203	145	Multiple dependent claim, if not paid		1204	86	2204	43	** Reissue independent claims over original patent		1205	18	2205	9	** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2) (\$ ) 302.00						Total Claims		Extra Claims		Fee from below	Fee Paid	45	-33** = 12	12	X 18 = 216.00	Independent Claims	4	-3** = 1	X 86 = 86.00			Multiple Dependent						Other fee (specify) _____ *Reduced by Basic Filing Fee Paid																																																																			
Large Entity		Small Entity		Fee Description			Fee Paid																																																																																																																																																																															
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																			
1001	770	2001	385	Utility filing fee																																																																																																																																																																																		
1002	340	2002	170	Design filing fee																																																																																																																																																																																		
1003	530	2003	265	Plant filing fee																																																																																																																																																																																		
1004	770	2004	385	Reissue filing fee																																																																																																																																																																																		
1005	160	2005	80	Provisional filing fee																																																																																																																																																																																		
SUBTOTAL (1) (\$ )																																																																																																																																																																																						
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																	
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																			
1202	18	2202	9	Claims in excess of 20																																																																																																																																																																																		
1201	86	2201	43	Independent claims in excess of 3																																																																																																																																																																																		
1203	290	2203	145	Multiple dependent claim, if not paid																																																																																																																																																																																		
1204	86	2204	43	** Reissue independent claims over original patent																																																																																																																																																																																		
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																		
SUBTOTAL (2) (\$ ) 302.00																																																																																																																																																																																						
Total Claims		Extra Claims		Fee from below	Fee Paid																																																																																																																																																																																	
45	-33** = 12	12	X 18 = 216.00																																																																																																																																																																																			
Independent Claims	4	-3** = 1	X 86 = 86.00																																																																																																																																																																																			
Multiple Dependent																																																																																																																																																																																						
**or number previously paid, if greater; For Reissues, see above		<b>SUBTOTAL (3) (\$ )</b>																																																																																																																																																																																				

SUBMITTED BY		(Complete (if applicable))	
Name (Print/Type)	Kevin M. Kercher	Registration No. (Attorney/Agent)	33.408
Signature		Telephone	314-345-6000
		Date	8/29/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

STLD01-1096365-1

08/30/04

EW  
\$



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Roy L. Hood and Gary L  
Noedel

U. S. Application Serial Number: 10/814,679

U.S. Filing Date: March 31, 2004

Priority Data:

U.S. Patent Application No. 09/634,381

Filed: August 9, 2000

Priority Data:

U.S. Provisional Patent Application No. 60/152, 323)

Filed: September 4, 1999

For: MULTI-COLOR MATS AND APPARATUS

Attorney Docket: 713629.417

Examiner: Jeremy R. Pierce

Group Art Unit: Not yet known

Customer No.: 27,128

Confirmation No.: 8311

**SECOND PRELIMINARY AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INTRODUCTION**

Prior to examination, it is respectfully requested that the application be amended as follows:

**Amendment to the Claims** are reflected in the listing of claims, which begin on page 2 of this paper.

**Remarks** begin on page 14 of this paper.

08/31/2004 3DIRETA1 00000046 110160 10014679

01 FC:1E0E 216.00 DA  
02 FC:1E01 86.00 DA